PARK AVENUE WEALTH MANAGEMENT, LLC

Registered Investment Advisor
Securities offered through LPL Financial, member FINRA / SIPC

Privacy Statement

Park Avenue Wealth Management, LLC , an independent financial planning firm is committed to safeguarding the confidential information of our clients. We hold all personal information provided to our firm in the strictest confidence. These records include all personal information that we collect from you in connection with any of the services provided by Park Avenue Wealth Management, LLC. We have never disclosed information to non-affiliated third parties, except as permitted by law, and do not anticipate doing so in the future. If we were to anticipate such a change in firm policy, we would be prohibited under the law from doing so without your written consent.

We limit employee and agent access to information to only those who have a business or professional reason for knowing and only non-affiliated parties with your written consent.

We maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk.

The category of non-public personal information that we collect from a client depends upon the scope of the client engagement. It will include information about your personal finances, information about your health to the extent that it is needed for the planning process, information about transactions between you and third parties, and information from consumer reporting agents.

For unaffiliated third parties that require access to your personal information, including financial service companies, consultants and auditors, we also require your permission through written consent. We require them and expect them to keep this information confidential according to the law. Federal and State regulators also may review firm records as permitted under law.

We do not provide your personally identifiable information to mailing list vendors or solicitors for any purpose.

Personally identifiable information about you will be maintained during the time you are a client and for the required time thereafter that such records are required to be maintained by federal and state securities laws and consistent with the CFP Board Code of Ethics and Professional Responsibility. After this required period of record retention, all such information will be destroyed.

While providing a service to you, we do collect your social security number in order to complete account applications and other standard forms related to your account. In accordance with our office Privacy and Security Policy, we protect the confidentiality of your social security number as we do all of your personally identifiable information. Access to social security numbers is limited and the unauthorized disclosure of social security numbers is prohibited pursuant to the terms of our Privacy and Security Policies.